Appendix G

CRITERIA FOR REVIEWING PROPOSED JOINT UC/CSU DOCTORAL PROGRAMS

Since the inception of the joint doctoral programs agreement, a basic philosophy for the programs has emerged within the University. Joint doctoral programs are designed to combine intellectual and physical resources in a discipline where a program is being proposed, to be mutually beneficial to both UC and CSU, and to meet a need not now being met by UC. It is expected that the research interests and program strengths of the proposing UC and CSU departments complement and reinforce each other, rather than duplicate an existing program. Such a logical combination, therefore, broadens the base upon which the program is being developed and provides a wider breadth and depth of faculty and curricula.

The following criteria, most of which derive from the basic reasons for engaging in joint doctoral programs, are suggested to guide the University’s review and approval of these programs:

1. **Criteria for Granting Permission to Negotiate**: When permission to negotiate is requested, the proposing UC campus should demonstrate:

   a. in writing the interest of faculty members from the proposing department or group to participate in the program and the potential benefits to be derived from the program by UC;

   b. adequacy of existing staff and facilities by showing that faculty, courses, equipment, and library and other facilities are already in place, and only minimal resources will be required;

   c. that there is an existing or closely related Ph.D. program in the discipline in which the joint doctoral program is being proposed; and if there is no existing Ph.D., show that the Ph.D. degree is an appropriate degree for the field in which the joint doctoral program is being proposed; e.g., a Ph.D. in Food Science that was proposed several years ago was not considered an appropriate degree for the field; and

   d. that if the proposing campus has a closely related Ph.D. (rather than an existing Ph.D.) in the discipline in which the joint doctoral program is being proposed, there is an existing group of faculty whose expertise is in the discipline of the proposed joint doctoral program and who can and will exercise the same quality control over the proposed program that the campus applies to its own free-standing doctoral programs.

2. **Proposal**. The proposal for a joint UC/CSU doctoral program should contain the information requested for a graduate degree program proposal from a single UC campus (see Appendix B), whatever additional information is needed to demonstrate that the proposed program meets all the criteria for approval listed below in point 3, and a resume of all other joint programs in existence between the UC proposing campus and the CSU system with a brief history and current status of each program. The CPEC form (see Appendix C) should also be appended. The proposal should include the rationale for a joint program, e.g. its uniqueness; that the combined faculty provides needed expertise and can meet societal demand; that the program provides opportunities for equipment sharing and research collaboration. The proposal should indicate that the program has support from the faculty and departments involved.

3. **Criteria for Approval**. Before the final proposal for a joint doctoral program is approved, the criteria for granting permission to negotiate (above) should be addressed satisfactorily by the proposing campus and the proposing campus should further demonstrate:

   a. that the proposed program has clear and valuable benefits for the UC department or program making the proposal, e.g., because of special facilities or program strengths that CSU has or because of unique expertise that CSU faculty possess in the discipline in which the joint doctoral program is being proposed which, when combined with UC facilities and faculty expertise, would enhance the academic quality of the program;
b. that there is adequate student demand for the program by estimating student demand and providing related data and considering enrollment in relation to available spaces for similar programs, at its own campus and at other campuses, as the one being proposed;

c. that there is a favorable job market for the program’s graduates by assessing the academic and/or non-academic employment prospects in the field of the proposed program and by substantiating employment trends with data from surveys or employment studies;

d. that the existing or closely related Ph.D. program has the capacity to accommodate the additional students or such capacity is planned for; the additional burden of the proposed joint program should readily be accommodated on the UC campus without substantial additional resources being required; if there is no capacity to expand in the existing Ph.D. program or closely related Ph.D. programs, then the proposed joint doctoral program will not be approved since the UC campus could not accommodate the students; and

e. that, when there is a closely related Ph.D. program rather than an existing Ph.D., an interdepartmental graduate group will be appointed or a department designated at the proposing UC campus and formally charged by the Senate and the administration with responsibility for giving the joint degree program the same attention a department or graduate group would give its free-standing Ph.D. programs.

4. Administration. A joint doctoral degree is granted by both UC and CSU. Therefore, the responsibility for the administration of the doctoral program should be equally divided between the two systems.

a. UC and CSU are jointly responsible for admission of students.

b. Each participating segment should have a faculty member (graduate advisor) responsible for and knowledgeable about the program and a staff member to support the faculty member and assist students.

c. A student’s examination and doctoral committees must have at least four members of which at least two must come from each of the cooperating segments.

d. The proposal should be explicit about the location of registration and payment of fees throughout the program, and the location of student support services, including assistance in securing financial support.

e. Joint programs may require additional resources, beyond those required by any new program at start-up because of their joint activities. This should be included in the budget submitted with the proposal.

(Appendix G Revised 11/2000)